RNS Number : 0428Z Shawbrook Group PLC

09 March 2017

# Shawbrook Group PLC (the "Company")

Notifications of transactions of directors and persons discharging managerial responsibilities (PDMRs)

#### 2017 Performance Share Plan awards (the "Plan")

In accordance with the Company's normal award cycle, on 8 March 2017 the following Executive Directors and PDMRs of the Company were each granted options over ordinary shares of £0.01 in the capital of the Company in accordance with the terms of the Plan.

The awards for the Executive Directors and PDMRs will vest on the third anniversary of the grant date, subject to the achievement of performance targets over the period 1 January 2017 to 31 December 2019, in accordance with the Plan rules.

Once the awards have vested the Executive Directors will also be required to hold the shares for a further two-year period (after any sales for tax arising on the vesting of the shares) in accordance with the terms of the Plan. In addition, awards may be subject to malus and clawback in exceptional circumstances in line with the Plan rules.

The awards were made for nil consideration.

The price used to calculate the number of awards was £3.167 being the average of the mid- market closing price of an ordinary share of the Company on the three consecutive business days immediately preceding 8 March 2017.

Executive Director	Total Number of Options granted which vest on 8 March 2020
Steve Pateman	197,347
Dylan Minto	105,778
Stephen Johnson	82,096

PDMR	Total Number of Options granted which vest on 8 March 2020
Hugh Fitzpatrick	78,939
Evelyn Hamilton	72,623
Debbie Griffin	67,887
Daniel Rushbrook	63,151
Angela Wakelin	78,939

#### **Deferred Share Bonus Plan**

In accordance with the Company's normal award cycle, on 8 March 2017 the following Executive Directors and PDMRs of the Company were each granted options over ordinary shares of £0.01 in the capital of the Company in accordance with the terms of the Shawbrook Group Deferred Share Bonus Plan ("the Deferred Share Plan").

The awards for the Executive Directors and PDMRs will vest in equal tranches on the first, second and third anniversaries of the grant date in accordance with the rules of the Deferred Share Plan. The awards will not be subject to further performance conditions but may be subject to malus and clawback in exceptional circumstances in line with the Deferred Share Plan rules.

Executive Director	Total Number of Options granted
Steve Pateman	114,116
Dylan Minto	44,205
Stephen Johnson	46.240

PDMR	Total Number of Options granted
Hugh Fitzpatrick	26,523
Evelyn Hamilton	26,523
Debbie Griffin	22,734
Philip George	21,274

This notification is made in accordance with the Market Abuse Regulation, Article 19(3).

For further information please contact:

#### **Daniel Rushbrook**

Company Secretary 01277 358 184

#### 2017 Performance Share Plan awards - Executive Directors

The below is detail in accordance with the EU Market Abuse Regulation:

1	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name	Stephen Pateman	
2	Reason for the notification		
a)	Position/status	Chief Executive Officer	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allov auction monitor	vance market participant, auction platform, auctioneer or	
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted		
a)	Description of the financial	Ordinary shares in Shawbrook Group plc of 1p each	

	instrument, type of instrument			
	Identification code	GB0	0BWDPMF43	
b)	Nature of the transaction	2017	7 Performance Share P	lan awards
c)	Price(s) and volume(s)			
			Price(s)	Volume(s)
		1.	Ор	197,347
				I
d)	Aggregated information - Aggregated volume	197,	347 Ordinary shares of	1p
	- Price	0рр	er share	
e)	Date of the transaction	ΩΜα	arch 2017	
(e)	Date of the transaction	O IVIC	aicii 2017	
f)	Place of the transaction	N/A		
1	Details of the person discharging r	nanad	erial responsibilities / I	person closely associated
a)	Name		n Minto	Jeroon oloociy abboolatea
2	Reason for the notification	Dyla	TI WIII TO	
a)	Position/status	Chie	f Financial Officer	
b)	Initial notification /Amendment	Initia	al notification	
3	Details of the issuer, emission allogated auction monitor	wance	market participant, au	ction platform, auctioneer or
a)	Name	Shav	wbrook Group plc	
b)	LEI	2138	80071539WSMTM4410	
4	Details of the transaction(s): secti type of transaction; (iii) each date;			
a)	Description of the financial instrument, type of instrument	Ordii	nary shares in Shawbro	ook Group plc of 1p each
	Identification code	GB0	0BWDPMF43	
b)	Nature of the transaction	2017	7 Performance Share P	lan awards
c)	Price(s) and volume(s)			
			Price(s)	Volume(s)
		1.	Ор	105,778
			1	,
d)	Aggregated information			

	- Aggregated volume	105,778 Ordinary shares of 1p	
	- Price	Op per share	
e)	Date of the transaction	8 March 2017	
f)	Place of the transaction	N/A	
1	Details of the person discharging	managerial responsibilities / person clo	osely associated
a)	Name	Stephen Johnson	
2	Reason for the notification		
a)	Position/status	Director	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allo auction monitor	owance market participant, auction plat	form, auctioneer or
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		tion to be repeated for (i) each type of in e; and (iv) each place where transaction	
			s have been conducte
-	type of transaction; (iii) each date  Description of the financial	; and (iv) each place where transaction	s have been conducte
a) b)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group	plc of 1p each
a) b)	Description of the financial instrument, type of instrument  Identification code	Ordinary shares in Shawbrook Group GB00BWDPMF43  2017 Performance Share Plan award	plc of 1p each
a) b)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction	Ordinary shares in Shawbrook Group GB00BWDPMF43	plc of 1p each
a)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction	Ordinary shares in Shawbrook Group GB00BWDPMF43  2017 Performance Share Plan award	plc of 1p each
a) b)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction	Ordinary shares in Shawbrook Group GB00BWDPMF43  2017 Performance Share Plan award  Price(s)	plc of 1p each  s  Volume(s)
a) b)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction	Ordinary shares in Shawbrook Group GB00BWDPMF43  2017 Performance Share Plan award  Price(s)	plc of 1p each  s  Volume(s)
a) b)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction  Price(s) and volume(s)	Ordinary shares in Shawbrook Group GB00BWDPMF43  2017 Performance Share Plan award  Price(s)  1. Op	plc of 1p each  s  Volume(s)
a) b)	type of transaction; (iii) each date  Description of the financial instrument, type of instrument  Identification code  Nature of the transaction  Price(s) and volume(s)  Aggregated information - Aggregated volume	Ordinary shares in Shawbrook Group GB00BWDPMF43 2017 Performance Share Plan award Price(s) 1. Op  82,096 Ordinary shares of 1p	plc of 1p each  s  Volume(s)

## 2017 Performance Share Plan awards - PDMRs

1 Details of the person discharging managerial responsibilities / person closely associated associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely associated as the person discharging managerial responsibilities / person closely as the person discharging managerial responsibilities / person closely as the person discharging managerial responsibilities / person closely as the person discharging managerial responsibilities / person closely as the person discharging managerial responsibilities / person closely as the person discharging managerial responsibilities / person disc
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a)	Name	Hugh Fitzpatrick		
2	Reason for the notification			
a)	Position/status	PDMR		
b)	Initial notification /Amendment	Initial notification		
3	Details of the issuer, emission allow auction monitor	vance market participant, auction platfo	orm, auctioneer or	
a)	Name	Shawbrook Group plc		
b)	LEI	21380071539WSMTM4410		
4		on to be repeated for (i) each type of ins and (iv) each place where transactions		
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group p	lc of 1p each	
	Identification code	GB00BWDPMF43		
b)	Nature of the transaction	2017 Performance Share Plan awards		
c)	Price(s) and volume(s)	Price(s)	Volume(s)	
		1. Op	78,939	
d)	Aggregated information - Aggregated volume	78,939 Ordinary shares of 1p		
	- Price	Op per share		
e)	Date of the transaction	8 March 2017		
f)	Place of the transaction	N/A		
1	Details of the person discharging m	anagerial responsibilities / person clos	ely associated	
a)	Name	Evelyn Hamilton		
2	Reason for the notification			
a)	Position/status	PDMR		
b)	Initial notification /Amendment	Initial notification		
3	Details of the issuer, emission allow auction monitor	vance market participant, auction platfo	orm, auctioneer or	
a)	Name	Shawbrook Group plc		
b)	LEI	21380071539WSMTM4410		

a) Description of the financial instrument, type of instrument Identification code  BOOBWDPMF43  b) Nature of the transaction  C) Price(s) and volume(s)  Price(s)  Aggregated information - Aggregated volume - Price  Date of the transaction  Ordinary shares in Shawbrook  GB00BWDPMF43  2017 Performance Share Plan  Price(s)  1. Op  72,623 Ordinary shares of 1p  Op per share  8 March 2017		
b) Nature of the transaction 2017 Performance Share Plan  c) Price(s) and volume(s)  d) Aggregated information - Aggregated volume - Price  e) Date of the transaction  2017 Performance Share Plan  70,623 Ordinary shares of 1p  72,623 Ordinary shares of 1p  72,623 Ordinary shares of 1p	Volume(s)	
c) Price(s) and volume(s)    Price(s)     1.    Op	Volume(s)	
d) Aggregated information - Aggregated volume - Price  e) Date of the transaction  Price(s)  72,623 Ordinary shares of 1p  Op per share  8 March 2017	` ,	
- Aggregated volume - Price  Op per share  e) Date of the transaction  72,623 Ordinary shares of 1p  8 March 2017		
f) Place of the transaction N/A		
Details of the person discharging managerial responsibilities / personal Name  Debbie Griffin	son closely associated	
2 Reason for the notification		
a) Position/status PDMR		
b) Initial notification /Amendment Initial notification		
Details of the issuer, emission allowance market participant, auction auction monitor	on platform, auctioneer or	
a) Name Shawbrook Group plc		
b) LEI 21380071539WSMTM4410		
Details of the transaction(s): section to be repeated for (i) each type type of transaction; (iii) each date; and (iv) each place where trans		
a) Description of the financial ordinary shares in Shawbrook instrument, type of instrument	Group plc of 1p each	
Identification code GB00BWDPMF43	GB00BWDPMF43	
b) Nature of the transaction 2017 Performance Share Plan	awards	
c) Price(s) and volume(s) Price(s)	Volume(s)	
1. Op	67,887	

d)	Aggregated information - Aggregated volume	67,887 Ordinary shares of 1p		
	- Price	Op per share		
e)	Date of the transaction	8 March 2017		
f)	Place of the transaction	N/A		
1	Details of the person discharging	managerial responsibilities / person clos	sely associated	
a)	Name	Daniel Rushbrook	,	
		Daniel Nashbrook		
2	Reason for the notification			
a)	Position/status	PDMR		
b)	Initial notification /Amendment	Initial notification		
3	Details of the issuer, emission allo auction monitor	uwance market participant, auction platf	orm, auctioneer or	
a)	Name	Shawbrook Group plc		
b)	LEI	21380071539WSMTM4410		
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted			
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group	plc of 1p each	
	Identification code	GB00BWDPMF43		
b)	Nature of the transaction	2017 Performance Share Plan awards	5	
c)	Price(s) and volume(s)			
		Price(s)	Volume(s)	
		1. Op	63,151	
d)	Aggregated information - Aggregated volume	63,151 Ordinary shares of 1p		
	- Price	Op per share		
e)	Date of the transaction	8 March 2017		
f)	Place of the transaction	N/A		

a)	Name	Angela Wakelin		
2	Reason for the notification			
a)	Position/status	PDMR		
b)	Initial notification /Amendment	Initial notification		
3	Details of the issuer, emission allow auction monitor	wance market participant, auction platfo	orm, auctioneer or	
a)	Name	Shawbrook Group plc		
b)	LEI	21380071539WSMTM4410		
4		on to be repeated for (i) each type of instant (iv) each place where transactions		
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group p	olc of 1p each	
	Identification code	GB00BWDPMF43		
b)	Nature of the transaction	2017 Performance Share Plan awards	3	
c)	Price(s) and volume(s)			
		Price(s)	Volume(s)	
		1. Op	78,939	
d)	Aggregated information - Aggregated volume	78,939 Ordinary shares of 1p		
	- Price	Op per share		
e)	Date of the transaction	8 March 2017		
f)	Place of the transaction	N/A		
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## **Deferred Bonus Award - Executive Directors**

1	Details of the person discharging managerial responsibilities / person closely associated	
a)	Name	Steve Pateman
2	2 Reason for the notification	
a)	Position/status	Chief Executive Officer
b)	Initial notification /Amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	

a)	Name	Shaw	brook Group plc	
b)	LEI	21380	21380071539WSMTM4410	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted			
a)	Description of the financial instrument, type of instrument	Ordin	ary shares in Shawbrook Group	plc of 1p each
	Identification code	GB00	BWDPMF43	
b)	Nature of the transaction	2016	Deferred Bonus Award	
c)	Price(s) and volume(s)		Price(s)	Volume(s)
		1.	0p	114,116
d)	Aggregated information			
u)	- Aggregated volume	114,1	16 Ordinary shares of 1p	
	- Price	0р ре	r share	
e)	Date of the transaction	8 Mar	rch 2017	
f)	Place of the transaction	N/A		
1	Details of the person discharging r	nanage	rial responsibilities / person clo	sely associated
a)	Name	Dylan	ı Minto	•
2	Reason for the notification			
a)	Position/status	Chief	Financial Officer	
b)	Initial notification /Amendment		notification	
3	Details of the issuer, emission allo auction monitor	wance r	market participant, auction platf	orm, auctioneer or
a)	Name	Shaw	brook Group plc	
b)	LEI	21380	0071539WSMTM4410	
4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted			
a)	Description of the financial instrument, type of instrument	Ordin	ary shares in Shawbrook Group <sub>I</sub>	plc of 1p each
	Identification code	GB00	BWDPMF43	
b)	Nature of the transaction	2016	Deferred Bonus Award	
c)	Price(s) and volume(s)		Price(s)	Volume(s)

		1. Op 44,205
d)	Aggregated information - Aggregated volume - Price	44,205 Ordinary shares of 1p Op per share
e)	Date of the transaction	8 March 2017
f)	Place of the transaction	N/A

1	Details of the person discharging r	managerial responsibilities / person clos	salv associated
'	Details of the person discharging i	manageriar responsibilities / person clos	sely associated
a)	Name	Stephen Johnson	
2	Reason for the notification	!	
a)	Position/status	Director	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allo auction monitor	l wance market participant, auction platf	orm, auctioneer or
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		on to be repeated for (i) each type of in and (iv) each place where transactions	
a)	Description of the financial instrument, type of instrument  Identification code	Ordinary shares in Shawbrook Group   GB00BWDPMF43	olc of 1p each
b)	Nature of the transaction	2016 Deferred Bonus Award	
c)	Price(s) and volume(s)	Price(s)  1. 0p	Volume(s) 46,240
d)	Aggregated information - Aggregated volume - Price	46,240 Ordinary shares of 1p 0p per share	
e)	Date of the transaction	8 March 2017	

f)	Place of the transaction	N/A

### 2016 Deferred Bonus Award - PDMRs

1	Details of the person discharging managerial responsibilities / person closely associated		
a)	Name	Hugh Fitzpatrick	
2	Reason for the notification		
a)	Position/status	PDMR	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allow auction monitor	wance market participant, auction platf	orm, auctioneer or
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		on to be repeated for (i) each type of in and (iv) each place where transactions	
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group	plc of 1p each
	Identification code	GB00BWDPMF43	
b)	Nature of the transaction	2016 Deferred Bonus Award	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		1. Op	26,523
d)	Aggregated information - Aggregated volume	26,523 Ordinary shares of 1p	
	- Price	0p per share	
e)	Date of the transaction	8 March 2017	
f)	Place of the transaction	N/A	

1	Details of the person discharging managerial responsibilities / person closely associated	
a)	Name	Evelyn Hamilton
2	Reason for the notification	
a)	Position/status	PDMR

b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allowation monitor	mission allowance market participant, auction platform, auctioneer or	
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		on to be repeated for (i) each type of instant (iv) each place where transactions	
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group p	olc of 1p each
	Identification code	GB00BWDPMF43	
b)	Nature of the transaction	2016 Deferred Bonus Award	
c)	Price(s) and volume(s)	Price(s)  1. 0p	Volume(s) 26,523
d)	Aggregated information - Aggregated volume - Price	26,523 Ordinary shares of 1p 0p per share	
e)	Date of the transaction	8 March 2017	
f)	Place of the transaction	N/A	
1	Details of the person discharging r	nanagerial responsibilities / person clos	sely associated
a)	Name	Debbie Griffin	
2	Reason for the notification		
a)	Position/status	PDMR	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allogated auction monitor	 wance market participant, auction platfo	orm, auctioneer or
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		I on to be repeated for (i) each type of ins and (iv) each place where transactions	
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group p	olc of 1p each
	Identification code	GB00BWDPMF43	
	l	1	

b)	Nature of the transaction	2016 Deferred Bonus Award	
c)	Price(s) and volume(s)	Price(s)  1. Op	Volume(s) 22,734
d)	Aggregated information - Aggregated volume - Price	22,734 Ordinary shares of 1p Op per share	
e) f)	Place of the transaction	8 March 2017  N/A	
1	Details of the person discharging n	nanagerial responsibilities / person clos	sely associated
a)	Name	Philip George	
2	Reason for the notification	L	
a)	Position/status	PDMR	
b)	Initial notification /Amendment	Initial notification	
3	Details of the issuer, emission allow auction monitor	wance market participant, auction platfo	orm, auctioneer or
a)	Name	Shawbrook Group plc	
b)	LEI	21380071539WSMTM4410	
4		on to be repeated for (i) each type of ins and (iv) each place where transactions	
a)	Description of the financial instrument, type of instrument	Ordinary shares in Shawbrook Group p	olc of 1p each
	Identification code	GB00BWDPMF43	
b)	Nature of the transaction	2016 Deferred Bonus Award	
c)	Price(s) and volume(s)	Price(s)  1. 0p	Volume(s) 21,274
d)	Aggregated information - Aggregated volume - Price	21,274 Ordinary shares of 1p 0p per share	

L			
	e)	Date of the transaction	8 March 2017
L			
	f)	Place of the transaction	N/A

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